

# Designated Persons (DPs) Oversight

## CLIENT CASE STUDY:

Through the use of GECKO Governance, our clients can eliminate **"Compliance Chaos"** by having a robust framework for the governance, management and oversight in Fund Management Companies.

Our **end-to-end CP86 platform** gives the management team, board and DP's the ability to interact meaningfully, supervise employees and their activities and monitor delegates with appropriate documenting and reporting of the communication received between each party.

*"GECKO has created many efficiencies for staff, enabling them to make better use of their time. demonstrate management of all activities, including high quality and effective oversight of those activities performed by delegates. Additionally, we have been able to streamline operations, have a centralised log of all outsourced activities and strengthen compliance."*

**Head Of Risk & Compliance, Fund Management**



## CHALLENGE

The inability to demonstrate constructive challenge to the regulator, meaning when things go wrong, the ManCo can't prove what steps were taken to follow the issue through and resolve it.



## SOLUTION

Built by subject matter expertise, our CP86 module enables ongoing monitoring and oversight of delegates and their tasks and strengthens governance, compliance and supervisability.

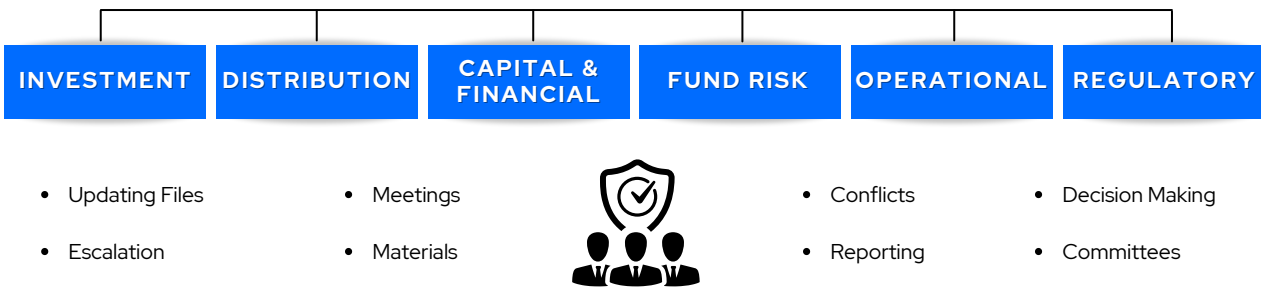


## WHY GECKO

A dedicated, centralised system to rescue clients from spreadsheet hell and prevent time and energy being wasted on managing complex tasks via multiple office tools.

## DP FORUM

GECKO streamlines the managerial functions to be carried out by DPs:



## KEY FEATURES:



Centralised Governance Dashboard



Record of Sign Off/ Approvals



Evidence of Escalation



High Quality, Meaningful Reports



Fund Document Management



Multi-user Application

## BENEFITS:

1

### Evidence of Friction & Challenge

Allows ManCo to give the regulator what they want.

2

### Save Time & Energy

Within a few clicks prove how issues & conflicts were resolved and dealt with.

3

### Transparency & Accountability

Clear evidence of what changes occurred to the organisation as a result.