

# The GECKO Platform

End-to-end solution to tackle compliance pain-points across a multitude of financial service areas globally.

## CHALLENGE

Organisations today are struggling to keep up with increasing regulation and failure to meet compliance standards can lead to millions in penalties and regulatory fines with global regulators fining firms **\$5.6 billion** so far for non-compliance.

Managing manual intensive tasks traditionally on spreadsheets and multiple office tools often resulting in **manual processes, human error, loss of valuable time to data entry** along with trying to prove out each step of compliance to regulators, especially in the new way of remote working.

## BUILT FOR

Asset/Fund Management Teams - Board of Directors,  
Corporate Secretaries - Depositories - Fund Administration

## SOLUTION

Built to meet the dynamic needs of the Financial Services and Funds industry, **GECKO is the overarching solution** to streamline, oversee and adhere to all governance, operations and compliance requirements.

The system pulls in your manual spreadsheet data and calendarises your tasks to give you a **real-time governance dashboard** with a holistic view of current, progress and upcoming deadlines.

Data and documents are provided in a more digitally efficient manner, helping to **give compliance a year round focus** and provide much needed transparency to the industry.

### GECKO

- Aggregates Data
- Automates Workflows
- Visualises Progress
- Records Decisions



## KEY BENEFITS

- **Compliance Footprint**  
Track and monitor your regulatory requirements and prove out each step of compliance to the regulator with our audit trail functionality.
- **Quick Implementation**  
Our flexible, collaborative approach & team's industry experience allows for a quick and easy set-up for clients.
- **Operational Efficiencies**  
Many efficiencies are created for staff enabling them to pinpoint information within three clicks of a button, make better use of their time and invest minutes saved into their core activities.

## KEY FEATURES



### Centralised Dashboard

Manage third parties, multiple streams of activity and projects through one centralized traffic light dashboard, while maintaining visibility of your entire team.



### Document Repository

House all of your documents in a secure environment & retrieve and export as needed in various file types.



### Customisable Reporting

Have the most up-to-date files to slice and dice the data you need to generate bespoke reports to meet regulator requests on time..



### Verifiable Audit Trail

Time stamped audit trail of activity enabling better transparency of actions taken and accountability of each employee.



### Calendarize Deliverables

Prepare and manage corporate & regulatory compliance calendars, internal obligations & reporting schedules to facilitate the distribution of work with ease.

# Platform Features

A dedicated, centralized system to rescue clients from spreadsheet hell and prevent time and energy being wasted on managing complex tasks via multiple office tools.

FEATURES	BENEFITS
<b>Project and Task Level Dashboard KPIs</b>	Allows you to track progress and make informed decisions.
<b>Flexible Workstream Configuration</b>	Create unlimited, multi-channel workstreams to efficiently oversight all areas of asset management compliance and governance.
<b>User Access Controls</b>	Tailor your environment access to existing internal company policies and allow secure third-party access.
<b>Corporate Document Repository</b>	Securely store files with role based access to confidential fund related documentation.
<b>Project Archiving</b>	Allows you to easily sideline or place an ongoing workstream on hold.
<b>Personalized Company Branding</b>	Customize your environment as per your internal company branding.
<b>Password Protection Lockout</b>	Tailor application security to suit your existing company security policies.
<b>SMART Tags</b>	Tags allow you to add an extra level of refinement to your business processes. They offer actionable aggregation and enhanced reporting capabilities.
<b>Exportable Audit Trail</b>	Prove and demonstrate all work carried out across an entire project / specific task with a time-stamped, exportable project audit trail.

To learn more, please visit [geckogovernance.io](https://www.geckogovernance.io)

# Technical Specification

Our essential technical elements for delivering an end-to-end process transformation for each of your business operations.

FEATURES	BENEFITS
<b>User Access Controls</b>	Tailor your environment access to existing internal company policies and allow secure third-party access.
<b>Remote Storage Capability</b>	Access confidential documents through the GECKO platform but store them anywhere on your own internal company systems.
<b>API Centric Architecture</b>	The GECKO platform can slot right in to your existing processes but also work with and communicate with other vendors to build a collaborative and enhanced ecosystem.
<b>Cloud-First SaaS Offering</b>	As a SaaS based product offering, we can ensure cost efficiencies and a reduced implementation time.
<b>Rapid Development Cycles</b>	Our lean, two week development cycles mean that all clients get to take advantage of new features as they're shipped.
<b>Modern Performant Application Architecture</b>	Our dynamic, modern web architecture allows us to ensure stability, performance and scalability for our clients.
<b>AWS Platform</b>	We host our application on AWS which enables us to maintain the highest standards in security and data privacy for our clients.

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# About GECKO

More than just a regulatory solution, GECKO is the data-driven **Governance Engine for Compliance, Knowledge and Operations.**

Founded in 2015, GECKO was born out of the frustration of life on a spreadsheet. Having walked in your shoes, the core team have over 100+ years' combined experience, deeply understanding our clients pain-points and their day-to-day activities.

With time being a valuable commodity for an organisation's management team, GECKO have established a suite of ready-to-go workflows that can be integrated with existing systems and applied around client's daily activities minimising set-up time.

Based in 3 global locations, GECKO has offices in New York, Ireland (HQ) and Australia and can be tailored to client's in multiple jurisdictions, providing clearer oversight and transparency of their global governance network and processes.

## Client Use Cases:

- ✓ Fund Launches & On-Boarding
- ✓ Fund ManCo Compliance & Governance
- ✓ Operational Due Diligence
- ✓ Reporting to Boards and Committees
- ✓ Regulatory Compliance
- ✓ Internal Controls Procedure Monitoring
- ✓ Outsourcing Management
- ✓ Monitoring KYC Compliance Obligations

### VC Backed:



centrality  
\_fintech

### Professional Memberships:



### Trusted Partners:



## Contact Us

Discover the endless benefits GECKO can bring to a variety of operational processes for your entire organisation as well as a clear competitive advantage of digital governance.

✉ [hello@geckogovernance.io](mailto:hello@geckogovernance.io)



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